

Sheet 1 of 8

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
1188-37 RCE/DIVSERIAL NO.
10/728,015INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Minotti et al.

CONFIRMATION NO.

(Use several sheets if necessary)

FILING DATE
December 4, 2003GROUP
1623

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|--|--------------------|----------|------------------|-------|--------------|-------------------------------|
| <i>mm</i> | | 4,203,900 | 05/20/90 | Kaiser | — | — | |
| | | 4,206,221 | 06/03/90 | Miller et al. | — | — | |
| | | 4,303,439 | 12/01/81 | Howe et al. | — | — | |
| | | 4,354,029 | 10/12/82 | Kaiser et al. | — | — | |
| | | 4,360,678 | 11/23/82 | Howe et al. | — | — | |
| | | 4,443,611 | 04/17/84 | Kaiser | — | — | |
| | | 4,543,414 | 09/24/85 | Carson | — | — | |
| | | 4,743,700 | 05/10/88 | Jamm et al. | — | — | |
| | | 4,814,470 | 03/21/89 | Colin et al. | — | — | |
| | | 4,857,653 | 08/15/89 | Colin et al. | — | — | |
| | | 4,876,399 | 10/24/89 | Holton et al. | — | — | |
| | | 4,877,881 | 10/31/89 | Belliotti et al. | — | — | |
| | | 4,924,002 | 05/08/90 | Kostlan | — | — | |
| | | 4,924,011 | 05/08/90 | Denis et al. | — | — | |
| | | 4,924,012 | 05/08/90 | Colln et al. | — | — | |
| <i>am</i> | | 4,942,184 | 07/17/90 | Haugwitz et al. | — | — | |

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|-----------|----------|--------------------|---|---|--|
| 5,960,790 | 10/02/90 | Stella et al. | — | — | |
| 5,015,744 | 05/14/91 | Holan | — | — | |
| 5,059,699 | 10/22/91 | Kingston et al. | — | — | |
| 5,128,478 | 07/07/92 | Ito et al. | — | — | |
| 5,136,060 | 08/04/92 | Holan | — | — | |
| 5,194,635 | 03/16/93 | Kingston et al. | — | — | |
| 5,208,237 | 05/04/93 | Hewawasam et al. | — | — | |
| 5,227,400 | 07/13/93 | Holton et al. | — | — | |
| 5,243,045 | 09/07/93 | Holton et al. | — | — | |
| 5,254,580 | 10/19/93 | Chen | — | — | |
| 5,264,591 | 11/23/93 | Bombardelli et al. | — | — | |
| 5,272,171 | 12/21/93 | Ueda et al. | — | — | |
| 5,278,324 | 01/11/94 | Kingston et al. | — | — | |
| 5,283,253 | 02/01/94 | Holton et al. | — | — | |
| 5,296,506 | 03/22/94 | Kingston | — | — | |
| 5,319,112 | 06/07/94 | Kingston et al. | — | — | |
| 5,352,806 | 10/04/94 | Gunawardana et al. | — | — | |
| 5,399,726 | 03/21/95 | Holton et al. | — | — | |

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|-----------|--|-----------|----------|------------------|---|---|--|
| <i>mm</i> | | 5,420,337 | 05/30/95 | Patel et al. | — | — | |
| | | 5,440,056 | 08/08/95 | Klein et al. | — | — | |
| | | 5,449,790 | 09/12/95 | Zheng et al. | — | — | |
| | | 5,468,769 | 11/21/95 | Klein et al. | — | — | |
| | | 5,476,954 | 12/19/95 | Borzat et al. | — | — | |
| | | 5,556,878 | 09/17/96 | Kelly et al. | — | — | |
| | | 5,580,997 | 12/02/96 | Bouchard et al. | — | — | |
| | | 5,589,502 | 12/31/96 | Tsujihara et al. | — | — | |
| | | 5,616,739 | 04/01/97 | Mas et al. | — | — | |
| | | 5,618,952 | 04/08/97 | Holton et al. | — | — | |
| | | 5,621,121 | 04/15/97 | Cammercon et al. | — | — | |
| | | 5,635,531 | 06/03/97 | Chen | — | — | |
| | | 5,637,732 | 06/10/97 | Holton et al. | — | — | |
| | | 5,646,176 | 07/08/97 | Golik et al. | — | — | |
| | | 5,670,658 | 09/23/97 | Bastart | — | — | |
| | | 5,677,470 | 10/14/97 | Tsujihara et al. | — | — | |
| | | 5,710,287 | 01/20/98 | Holton et al. | — | — | |
| <i>mm</i> | | 5,721,268 | 02/24/98 | Holton et al. | — | — | |

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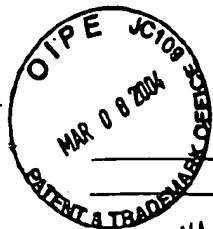
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| 5,728,687 | 03/17/98 | Bissery | — | — |
| 5,728,725 | 03/17/98 | Holton et al. | — | — |
| 5,760,252 | 06/02/98 | Holton et al. | — | — |
| 5,767,296 | 06/16/98 | Terasawa et al. | — | — |
| 5,773,461 | 06/30/98 | Wittma et al. | — | — |
| 5,808,102 | 09/15/98 | Poss et al. | — | — |
| 5,840,931 | 11/24/98 | Bouchard et al. | — | — |
| 5,847,170 | 12/08/98 | Bouchard et al. | — | — |
| 5,889,043 | 03/30/99 | Bouchard et al. | — | — |
| 5,908,835 | 06/01/99 | Bissery | — | — |
| 6,005,120 | 12/21/99 | Holton et al. | — | — |
| 6,020,507 | 02/01/00 | Gibson | — | — |
| 6,043,375 | 03/28/00 | Borzat et al. | — | — |
| 6,072,060 | 06/06/00 | Suidell et al. | — | — |
| 6,096,909 | 08/01/00 | Ojima | — | — |
| 6,100,411 | 08/08/00 | Ojima | — | — |
| 6,214,863 | 04/10/01 | Bissery | — | — |
| 6,239,167 | 05/29/01 | Bissery | — | — |

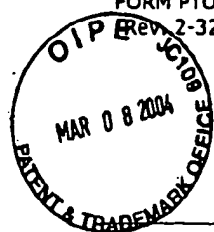
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GROUP
1623

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|-----------|--|-----------|-------|--------------|---|---|--|
| <i>dm</i> | | 6,248,908 | 06/01 | Kant | — | — | |
| | | 6,340,701 | 01/02 | Charl et al. | — | — | |
| <i>dm</i> | | 6,353,120 | 03/02 | Kant | — | — | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION | |
|---------------------|--|--------------------|----------|---------|-------|--------------|-------------|----|
| | | | | | | | YES | NO |
| <i>dm</i> | | JP 55-145650 | 11/13/80 | Japan | — | — | | |
| | | JP 61-005022 | 01/10/86 | Japan | — | — | | |
| | | JP 61-51578 | 11/10/86 | Japan | — | — | | |
| | | JP 60-222416 | 11/07/85 | Japan | — | — | | |
| | | JP61-115022 | 06/02/86 | Japan | — | — | | |
| | | JP 9208572A | 08/12/97 | Japan | — | — | | |
| | | WO 90/02738 | 03/22/90 | PCT | — | — | | |
| | | WO 92/09589 | 06/11/92 | PCT | — | — | | |
| | | WO 92/19765 | 11/12/92 | PCT | — | — | | |
| | | WO 94/07876 | 04/14/94 | PCT | — | — | | |
| | | WO 94/13655 | 06/23/94 | PCT | — | — | | |
| <i>dm</i> | | WO 94/14787 | 07/07/94 | PCT | — | — | | |

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| OK | | WO 95/03265 | 02/02/95 | PCT | — | — | | |
| | | WO 96/00724 | 01/11/96 | PCT | — | — | | |
| | | WO 96/13495 | 05/09/96 | PCT | — | — | | |
| | | WO 96/14309 | 05/17/96 | PCT | — | — | | |
| | | WO 96/23779 | 08/08/96 | PCT | — | — | | |
| | | WO 97/09979 | 03/20/97 | PCT | — | — | | |
| | | WO 97/23472 | 07/03/97 | PCT | — | — | | |
| | | WO 97/32578 | 09/12/97 | PCT | — | — | | |
| | | WO 97/32869 | 09/12/97 | PCT | — | — | | |
| | | WO 98/01435 | 01/15/98 | PCT | — | — | | |
| | | WO 98/22451 | 05/28/98 | PCT | — | — | | |
| | | WO 99/33462 | 07/08/99 | PCT | — | — | | |
| | | EP 0 359 516 B1 | 08/10/94 | EPO | — | — | | |
| | | EP 0 400 971 B1 & A3 | 07/29/98 | EPO | — | — | | |
| | | EP 0 428 376 B1 | 01/10/96 | EPO | — | — | | |
| | | EP 0 505 047 B1 & A1 | 04/24/96 | EPO | — | — | | |
| | | EP 0 537 905 B1 & A1 | 03/06/96 | EPO | — | — | | |
| M | | EP 0 604 910 A1 | 07/06/04 | EPO | — | — | | |

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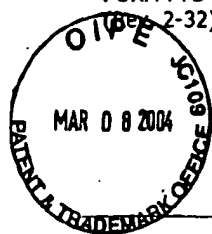
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|----|--|-----------|----------|--------|---|---|--|--|
| M | | 1,061,330 | 08/28/79 | Canada | — | — | | |
| | | 1,695,918 | 05/06/71 | German | — | — | | |
| CH | | 2,919,891 | 12/04/80 | German | — | — | | |
| | | | | | | | | |
| | | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|---|--|---|
| M | | Donnelli, M.G., et al; Pharmacokinetics of anticancer agents in patients with impaired liver function; European Journal of Cancer; Pergamon Press; Oxford, Great Britain; vol. 34, no. 1; January 1998 |
| | | ECCO: Taxol/doxorubicin Improves Survival in Metastatic Breast Cancer; Doctor's Guide, 'Online!; 22 September 1999; retrieved from the Internet: www.pslgroup.com/dg/13144a.htm ; retrieved 2002-11-06. |
| | | DG Dispatch - ECCO: New Drug Combination Enhances Treatment for Advanced Breast Cancer; Doctor's Guide; 'Online!; 19 September 1999; retrieved from the Internet: www.pslgroup.com/dg/12c452.htm . |
| | | Sparano, "Doxorubicin/Taxane combinations: Cardiac toxicity and pharmacokinetics." Seminars in Oncology, Vol. 26, No. 3, Suppl. 9 (June 1999), pages 14-19. |
| | | Gianni et al., "Human pharmacokinetic characterization and in vitro study of the interaction between doxorubicin and paclitaxel patients with breast cancer." Journal of clinical oncology, vol. 15, no. 5 (May 1997) pages 1906-1915. |
| M | | Zoli et al. "In vitro activity of taxol and taxotere in comparison with doxorubicin and cisplatin on primary cell cultures of human breast cancers." Breast Cancer Research and Treatment, 34, 1995, pages 63-39. |

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Wahl et al. "Selective tumor sensitization to taxanes with the mab-drug
conjugate CBR96-doxorubicin." Abstract: Int. J. Cancer vol. 4 (15 Aug.
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